



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/895,281

Filing Date: June 29, 2001

Title: SINGLE PARTY BUYING AND SELLING COMMODITIES WITH
MULTIPLE COUNTERPARTIES

Applicants: Louise J. Kitchen et al.

Group Art Unit: 2161

Atty Docket No.: ENR100/4-15

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Technology Center 2100**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to Applicants' duty, under 37 C.F.R. § 1.56, 1.97 and 1.98, to disclose all relevant material, the Applicants list on a concurrently filed Form PTO-1449 references that may be relevant to the subject matter of the above-identified application. Please note that the citation to *In re Application of Wait - 28 U.S.P.Q. 88 (C.C.P.A. 1934)* in the original form PTO-1449 was incorrectly cited and should be *In re Application of Wait - 24 U.S.P.Q. 88 (C.C.P.A. 1934)*. A copy of this document is enclosed. Please strike the original, incorrect citation. A search report and patent references from a search conducted in a related and co-pending PCT application are enclosed and the references are cited on the enclosed Form PTO-1449. Additional references are also cited on Form PTO-1449, and a copy of each is enclosed.

Respectfully submitted,

Date: December 27, 2001

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2		Complete if Known	
		Application Number	09/895,281
		Filing Date	06/29/2001
		First Named Inventor	Louise J. Kitchen
		Art Unit	2161
		Examiner Name	
		Attorney Docket Number	ENR100/4-15

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5873069	02-16-1999	Reuhl et al.	
		US- 5835896	11-10-1998	Fisher et al.	
		US- 5794219	08-11-1998	Brown	
		US- 5794207	08-11-1998	Walker et al.	
		US- 5727165	03-10-1998	Ordish et al.	
		US- 4992940	02-12-1991	Dworkin	
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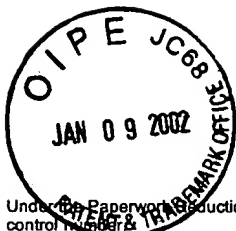
FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Group Art Unit	2161
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number ENR100/4-15	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		In re Application of Wait - 24 U.S.P.Q. 88 (C.C.P.A. 1934).	
		"Altra to acquire QuickTrade electronic trade system", Megawatt Daily, Vol. 4, Issue 10, 01/15/1999.	
		McKinnon, "Atra, QuickTrade merger to boost market penetration", Financial Post, 01/15/1999.	

Examiner Signature	Date Considered
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